

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC10000 PCT 1

In Re Application:

NGUYEN

Application No.

10/585154

Filing Date

AUG 14 2007

Examiner

NWAONICHA, C.

Customer No.

00137

Group Art Unit

1621

Confirmation No.

Title: GRIGNARD PROCESSES WITH IMPROVED YIELDS OF DIPHENYLCHLOROSILANES AS PRODUCTS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Kelly Smith

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Patricia M Scaduto
Signature

Dated: Aug 9, 2007

Patricia M. Scaduto, Reg. No. 39,827
Phone: 989-496-6925
Mail Number C01232
2200 W. Salzburg Road
P.O. Box 994
Midland, MI 48686-0994
UNITED STATES OF AMERICA

08/14/2007 ENAILE1 00000068 041520 10585154
01 FC:1806 180.00 DA

cc:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC10000 PCT 1

In Re Application Of: NGUYEN

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/585154	06/29/2006	NWAONICHA, C.	00137	1621	8213

Title: OPTIMIZED PROCESSES WITH IMPROVED YIELDS OF DIPHENYLCHLOROSILANES AS PRODUCTS



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P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).



Institute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 6

Complete if Known

Application Number	10/585154
Filing Date	06/29/2006
First Named Inventor	NGUYEN
Art Unit	
Examiner Name	
Attorney Docket Number	DC10000 PCT 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,606,088	02-25-1997	BANK et al.	
		US- 5,629,439	05-13-1997	BANK et al.	
		US- 2005/0068475	03-31-2005	KUME et al.	
		US- 2005/0068476	03-31-2005	OKABE	
		US- 6,686,492	02-02-2004	NGUYEN	
		US- 6,552,237	04-22-2003	BEDBURY et al.	
		US- 4,593,112	06-03-1986	TAKAMIZAWA et al.	
		US- 5,596,120	01-21-1997	BANK et al.	
		US- 4,687,874	08-18-1987	OSWALD et al.	
		US- 3,485,863	12-23-1969	NUTZEL et al.	
		US- 3,264,360	08-02-1966	NUDENBERG, et al.	
		US- 3,140,321	07-07-1964	GOEPFERT et al.	
		US- 4,127,507	11-28-1978	FANNIN et al.	
		US- 4,921,989	05-01-1990	ISHIHARA et al.	
		US- 5,242,625	09-07-1993	JONES et al.	
		US- 6,057,480	05-02-2000	UENO et al.	
		US- 2,426,122	08-19-1947	RUST et al.	
		US- 5,099,040	03-24-1992	ROSEN et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0729931	08/19/1998	Dow Corning Corporation		
		WO 03/106465	12/24/2003	Dow Corning Corporation		
		WO 05/068475	07/28/2005	Dow Corning Corporation		
		WO 05/068476	07/28/2005	Dow Corning Corporation		
		WO 03/084970	10/16/2003	Dow Corning Corporation		

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/585154
		Filing Date	06/29/2006
		First Named Inventor	NGUYEN
		Art Unit	
		Examiner Name	
Sheet 5 of 6	Attorney Docket Number	DC10000 PCT1	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Semenov V. V. et al., Reactions of Methylchlorosilanes with Grignard Reagents, Russian Chemical Bulletin, vol. 44, no. 5, 1995, pp. 927-30.	
		KUNAI, A. et al.: "Highly selective synthesis of chlorosilanes from hydrosilanes", ORGANOMETALLICS, 11(7), pages 2708-2711, 1992, XP009068024.	
		HYDE, J. F. et al: "Condensation products of the organo-silane diols", JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, 63(5), May 1941, pages 1194-1196, XP009068013.	
		Tuulmets, et al., Partially Solanted Alkylmagnesium Chlorides in Toluene, Jur. Of Organometallic Chem., vol. 523, 18 October 1996, pp. 133-138.	
		Tuulmets, et al., Solvation Effects in Partially Solvated Grignard Reagents, Jour. Of Organometallic Chem., vol. 575 (1999) pp. 182-186.	
		Coates et al., Organomethallic Compounds, vol. 1, pp. 76-103, 1967, Methuen and Co. Ltd, London, U.K.	
		Kirk/Orthmer, Encyclopedia of Chemical Technology, Vol. 10, 721-734 (1966) The interscience Enclylopedia, Inc., NY NY	
		Turk, et al., Organic Systhesis, vol. 27, 7-8 (1947).	
		Tuulmets A. et al., Grignard Reagents in Toulene Solutions, Applied Organometallic Chemistry, Vol. 16, 23 July 2002, pp. 525-529	
		Tuulmets A. et al., Reactions of partially solvated Grignard reagents with a ketone, Journal of Organometallic Chemistry Elsevier-Sequoia S.A. Lausanne, CH, vol. 586, no. 2, 5 September 1999 pp. 145-149.	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO <h1>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/585154
				Filing Date	06/29/2006
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				Art Unit	
				Examiner Name	
				Attorney Docket Number	DC10000 PCT 1
Sheet	6	of	6		

[illegible]

Examiner Signature		Date Considered	
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